

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Thomas Lux</u> (Last) (First) (Middle) <u>C/O LPL FINANCIAL HOLDINGS INC.</u> <u>75 STATE STREET</u> (Street) <u>BOSTON MA 02109</u> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) <u>03/13/2015</u>	3. Issuer Name and Ticker or Trading Symbol <u>LPL Financial Holdings Inc. [LPLA]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Acting Chief Financial Officer</u>	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
<u>Common Stock</u>	<u>6,378⁽¹⁾</u>	<u>D</u>	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date				
<u>Option to purchase Common Stock</u>	<u>(2)</u>	<u>09/14/2019</u>	<u>Common Stock</u>	<u>15,300</u>	<u>22.08</u>	<u>D</u>
<u>Option to purchase Common Stock</u>	<u>(3)</u>	<u>12/22/2020</u>	<u>Common Stock</u>	<u>20,000</u>	<u>34.61</u>	<u>D</u>
<u>Option to purchase Common Stock</u>	<u>(4)</u>	<u>02/09/2022</u>	<u>Common Stock</u>	<u>6,843</u>	<u>32.26</u>	<u>D</u>
<u>Option to purchase Common Stock</u>	<u>(5)</u>	<u>02/22/2023</u>	<u>Common Stock</u>	<u>8,291</u>	<u>31.6</u>	<u>D</u>
<u>Option to purchase Common Stock</u>	<u>(6)</u>	<u>02/24/2024</u>	<u>Common Stock</u>	<u>4,924</u>	<u>54.81</u>	<u>D</u>

Explanation of Responses:

- Consists of (i) 4,505 shares of Common Stock; (ii) 1,044 restricted stock units that vest ratably on February 22, 2016 and February 22, 2017; and (iii) 829 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017.
- This option became fully vested and exercisable on September 14, 2014.
- This option is currently exercisable as to 16,000 shares. The remaining award will become vested and exercisable on December 22, 2015.
- This option is currently exercisable as to 2,506 shares. The remaining award will vest ratably on February 9, 2016 and February 9, 2017.
- This option is currently exercisable as to 4,145 shares. The remaining award will vest ratably on February 22, 2016, and February 22, 2017.
- This option is currently exercisable as to 1,642 shares. The remaining award will vest ratably on February 24, 2016, and February 24, 2017.

Remarks:

/s/ Thomas Lux03/19/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.