SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Ad Abood Der	dress of Reporting nise <u>M.</u>	Ferson F	2. Date of Event Requiring Stater Month/Day/Yea 03/09/2010	nent	3. Issuer Name and Ticker or Trading Symbol <u>LPL Investment Holdings Inc.</u> [ NONE ]						
(Last) C/O LPL INV ONE BEACO (Street) BOSTON (City)		(Middle) DLDINGS INC. 02108 (Zip)				ationship of Reporting Perso ( all applicable) Director Officer (give title below) MD, Human Ca	10% Owne Other (spe below)	er	(Mon 6. Inc Appli	th/Day/Year) lividual or Joint cable Line) Form filed by	tte of Original Filed /Group Filing (Check y One Reporting Person y More than One erson
Table I - Non-Derivative Securities Beneficially Owned											
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					46,040		D				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)											
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit		ty (Instr. 4) Con or E		ersion ercise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	n Title		Amount or Number of Shares	Price o Deriva Securi	tive	or Indirect (I) (Instr. 5)	
Option Award			(1)	02/05/2018	8	Common stock	40,000 27.8		.8	D	
Option Award			(2)	09/14/2019	9	Common Stock	50,000	22.	.08	D	

Explanation of Responses:

1. This option is currently exercisable as to 16,000 shares. The remaining award is exercisable in 8,000 increments on each of February 5, 2011, 2012 and 2013.

2. This option is not currently exercisable as to any shares. The option is exercisable in 20% increments on each of September 14, 2010, 2011, 2012, 2013 and 2014.

## 03/23/2010

Date

\*\* Signature of Reporting Person

/s/Denise M. Abood

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.