

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION**

Washington, DC 20549

**FORM 8-K**

**CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF  
THE SECURITIES EXCHANGE ACT OF 1934**

**August 11, 2008**

Date of report (date of earliest event reported)

**LPL Investment Holdings Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdictions of  
incorporation or organization)

**000-52609**

(Commission File Number)

**20-3717839**

(I.R.S. Employer Identification  
Nos.)

**One Beacon Street, Floor 22**

**Boston MA 02108**

(Address of principal executive offices) (Zip Code)

**(617) 423-3644**

(Registrant's telephone number, including area code)

**N/A**

(Former Name or Former Address, if Changed since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrants under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

**Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.**

The Company announced that C. William Maher shall step down from his role as Chief Financial Officer of the Company, effective September 2, 2008.

The Company has appointed Robert Moore as Managing Director and Chief Financial Officer of the Company, effective September 2, 2008. Mr. Moore, 46, most recently served as chief executive officer and chief financial officer of ABN AMRO North America and LaSalle Bank Corporation. Prior to this role, Mr. Moore served in a number of finance management positions with Diageo PLC, culminating in the role of chief financial officer of the company's European operations.

Mr. Moore holds a Bachelor of Business Administration in finance from the University of Texas, a Master of Management from Northwestern University and has attended the Harvard Business School Executive Management Program. He is also a Chartered Financial Analyst.

At the time of the filing of this report, the Company has not entered into any material plan, contract or arrangement to which Mr. Moore is a party or in which he participates, or any material amendment, in connection with the appointment described above.

A press release announcing the appointment of Mr. Moore as Managing Director and Chief Financial Officer as described above is attached hereto as Exhibit 99.1.

**ITEM 9.01. FINANCIAL STATEMENTS AND EXHIBITS.**

(d) Exhibits.

99.1 Press release announcing management change.

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LPL INVESTMENT HOLDINGS INC.

By: /s/ Stephanie L. Brown  
Name: Stephanie L. Brown  
Title: Secretary

Dated: August 14, 2008

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## EXHIBIT INDEX

<u>Exhibit Number</u>	<u>Description</u>
99.1	Press release announcing management change.

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**LPL Financial****For Immediate Release****Media Contacts:**

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**Robert Moore Appointed Chief Financial Officer of LPL Financial*****Former CEO and CFO of ABN AMRO North America and LaSalle Bank Corporation  
 Joins Executive Team***

Boston, MA (August 14, 2008): LPL Financial Corporation (“LPL Financial”) today announced the appointment of Robert Moore as chief financial officer, succeeding Bill Maher.

Based in San Diego, California, Mr. Moore, 46, will assume his new post on September 2 and will report to chairman and CEO Mark Casady. Mr. Moore joins LPL Financial with more than 20 years of financial, management, and C-suite experience, most recently from ABN AMRO North America and LaSalle Bank Corporation, where he served as chief financial officer and chief executive officer. In his role as CEO, Mr. Moore led ABN AMRO’s North America business unit, which includes U.S.-based LaSalle Bank. During his tenure, he drove the North America business unit to its best historical financial performance and set a new direction for LaSalle Bank that focused on optimal revenue-generating activities, enhanced quality of earnings, reduced expenses, and decreased capital consumption.

“Robert’s extensive background guiding the financial management of leading corporations makes him well suited not only to manage our firm’s finances, but also to assist in charting the strategic direction for our business,” said Mark Casady. “His leadership will play a key role in the continued growth and evolution of LPL Financial.”

“What attracted me to LPL Financial was the opportunity to be part of an organization with such a clear vision and a business model that is engineered for growth and success with its customers.” said Mr. Moore. “I am excited to join such a dynamic and innovative firm. This company has an exceptionally bright future and I am thrilled to become a member of the management team of an industry leading business.”

Prior to ABN AMRO, Mr. Moore held senior positions at UK-based Diageo PLC since 2001, ultimately culminating in the role of chief financial officer of the company’s

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European operations. Previous positions include group senior vice president, North America, for ABN AMRO; senior executive vice president for Duff and Phelps Investment Management; and a range of portfolio, treasury, and trust management positions for Harris Investment Management, Ford Motor Company, and Northern Trust.

Mr. Moore holds a Bachelor of Business Administration in finance from the University of Texas, a Master of Management from Northwestern University, and has attended the Harvard Business School Executive Management Program. He is also a Chartered Financial Analyst.

**About LPL Financial**

LPL Financial is one of the nation’s leading financial services companies and largest independent broker/dealer(1). Headquartered in Boston, San Diego, and Charlotte, LPL Financial and its affiliates offer industry-leading technology, training, service, and unbiased research to 11,422 financial advisors, 752 financial institutions, and over 4,000 institutional clearing and technology subscribers. LPL Financial has \$275 billion in assets under management as of June 30, 2008.

LPL Financial and its nearly 3,000 employees serve financial advisors through Independent Advisor Services, supporting financial advisors at all career stages; Institution Services, focusing on the needs of advisors and program managers in banks and credit unions; and Custom Clearing Services, working with broker/dealers at leading financial services companies. In 2008, LPL Financial celebrates its fortieth year of helping financial advisors deliver quality investment advice.

For additional information about LPL Financial, visit [www.lpl.com](http://www.lpl.com).

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(1) As reported in *Financial Planning* magazine, June 1996-2008, based on total revenue

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