SEC Form 4	
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## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Instruction 1(b).	F	iled pursuant to Section 16(a) of the Securities Exchange Act of 193	4	hours per response:	on(s) to Issuer 10% Owner Other (specify below) ctor (Check Applicable ting Person
		or Section 30(h) of the Investment Company Act of 1940			
1. Name and Address of Reporting Pers <u>SEMERJIAN DAYTON</u>	son <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>LPL Financial Holdings Inc.</u> [LPLA]	(Check all applicat Director V Officer (g	ive title Other (sp	ner
(Last) (First) C/O LPL FINANCIAL HOLDIN 4707 EXECUTIVE DRIVE	(Middle) IGS INC.	3. Date of Earliest Transaction (Month/Day/Year) 02/25/2023	below)	below) anaging Director	
(Street) SAN DIEGO CA	92121	4. If Amendment, Date of Original Filed (Month/Day/Year)	Line) X Form filed	nt/Group Filing (Check App d by One Reporting Persor d by More than One Repor	ו
(City) (State)	(Zip)	—	Person	· ·	-

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		Disposed Of (	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported	Form: Direct	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Stock	02/25/2023		Α		11,068(1)	Α	\$ <mark>0</mark>	25,441	D	
Common Stock	02/25/2023		F		6,323	D	\$247.3	19,118 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

				-				-				-			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) o Dispe of (D	r osed ) r. 3, 4	6. Date Exerc Expiration Da (Month/Day/Y	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents shares of Common Stock received upon vesting of performance stock units ("PSUs") that were granted on February 25, 2020. The granted PSUs were eligible to become earned PSUs based on the Issuer's total stockholder return ("TSR") relative to the TSR of a predetermined comparator group over a three-year performance period ending December 31, 2022. Based on the Issuer's TSR relative to this peer group, PSUs were earned at 200% of the reporting person's target award.

2. Consists of (i) 16,799 shares of Common Stock; (ii) 956 restricted stock units that vest in full on February 25, 2024; and (iii) 1,363 restricted stock units that vest ratably on each of February 25, 2024 and February 25, 2025.

## Remarks:

The signatory is signing on behalf of Dayton Semerjian pursuant to a Power of Attorney dated February 28, 2019.

/s/ Gregory M. Woods, attorney-in-fact

02/28/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.