



# Your Vote Counts!

LPL FINANCIAL HOLDINGS INC.

2023 Annual Meeting of Stockholders

Vote by May 10, 2023

11:59 PM Eastern Time

LPL FINANCIAL HOLDINGS INC.  
1055 LPL WAY  
FORT MILL, SOUTH CAROLINA 29715



V04926-P89934

## You invested in LPL FINANCIAL HOLDINGS INC. and it's time to vote!

You have the right to vote on proposals being presented at the annual meeting. **This is an important notice regarding the availability of proxy materials for the 2023 annual meeting of stockholders to be held on May 11, 2023.**

## Get informed before you vote

View the Notice, Proxy Statement and Annual Report online, OR you can receive a free paper or email copy of the materials by requesting them prior to April 27, 2023. If you would like to request a copy of the materials for this or future stockholder meetings, you may (1) visit [www.ProxyVote.com](http://www.ProxyVote.com), (2) call 1-800-579-1639 or (3) send an email to [sendmaterial@proxyvote.com](mailto:sendmaterial@proxyvote.com). If sending an email, please include your control number (indicated below) in the subject line. Unless requested, you will not receive a paper or email copy.



For complete information and to vote, visit [www.ProxyVote.com](http://www.ProxyVote.com)

Control #

### Smartphone users

Point your camera here and  
vote without entering a  
control number



### Vote in Person at the Meeting\*

May 11, 2023  
8:00 AM Eastern Time

LPL Financial  
1055 LPL Way  
Fort Mill, SC 29715

\*Please check the meeting materials for any special requirements for meeting attendance. At the meeting, you will need to request a ballot to vote these shares.

## THIS IS NOT A VOTABLE BALLOT

This is an overview of the proposals being presented at the upcoming 2023 annual meeting of stockholders. Please follow the instructions on the reverse side to vote on these important matters.

Voting Items	Board Recommends
1. Elect the ten nominees named in the proxy statement to the Board of Directors of LPL Financial Holdings Inc. (the "Company"). <b>Nominees:</b>	
1a. Dan H. Arnold	✔ For
1b. Edward C. Bernard	✔ For
1c. H. Paulett Eberhart	✔ For
1d. William F. Glavin Jr.	✔ For
1e. Albert J. Ko	✔ For
1f. Allison H. Mnookin	✔ For
1g. Anne M. Mulcahy	✔ For
1h. James S. Putnam	✔ For
1i. Richard P. Schifter	✔ For
1j. Corey E. Thomas	✔ For
2. Ratify the appointment of Deloitte & Touche LLP by the Audit and Risk Committee of the Board of Directors as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2023.	✔ For
3. Approve, in an advisory vote, the compensation paid to the Company's named executive officers.	✔ For
4. Approve, in an advisory vote, the frequency of future advisory votes on the compensation paid to the Company's named executive officers.	1 Year
<b>NOTE:</b> Such other business as may properly come before the meeting or any adjournment thereof will be voted on by the proxy holders in their discretion.	

Prefer to receive an email instead? While voting on [www.ProxyVote.com](http://www.ProxyVote.com), be sure to click "Sign up for E-delivery".