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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

1. Name and Address of Reporting Person <sup>*</sup> Buchheister Jeffrey R.		Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>LPL Financial Holdings Inc.</u> [ LPLA ]		ationship of Reporting Pe ( all applicable) Director	erson(s) to Issuer 10% Owner
				X	Officer (give title below)	Other (specify below)
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)			
C/O LPL FINANCIAL HOLDINGS INC.,			03/06/2015		EVP, Chief Accou	nting Officer
75 STATE ST	TREET, 24TH F	LOOR				
,			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv	/idual or Joint/Group Fili	ng (Check Applicable
(Ctroot)				Line)		
(Street) BOSTON	МА	02109		X	Form filed by One Re	porting Person
	1417 1	02103			Form filed by More the Person	an One Reporting
(City)	(State)	(Zip)				

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	03/06/2015		Α		1,328(1)	A	\$ <mark>0</mark>	2,839 <sup>(2)</sup>	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to purchase Common Stock	\$45.55	03/06/2015		A		5,978		(3)	03/06/2025	Common Stock	5,978	\$0	5,978	D	

#### Explanation of Responses:

1. These shares represent restricted stock units. Each restricted stock unit represents a contingent right to receive one share of common stock on the applicable vesting date. These restricted stock units will vest ratably on each of March 6, 2016, March 6, 2017 and March 6, 2018. Vested shares will be issued to the reporting person as soon as practicable after the applicable vesting date.

2. Consists of (i) 802 shares of Common Stock; (ii) 369 restricted stock units that vest ratably on February 22, 2016 and February 22, 2017; (iii) 340 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2016 and February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 24, 2017; (iii) 400 restricted stock units that vest ratably on February 400 restricted stock units that vest ratably on February 400 restricted stock units that vest ratably on February 400 restricted stock units that vest ratably on February 400 restricted stock units that vest ratably on February

3. This option becomes exercisable in three equal annual installments on each of March 6, 2016, March 6, 2017 and March 6, 2018.

#### **Remarks:**

### <u>/s/ Jeffrey R. Buchheister</u>

\*\* Signature of Reporting Person

03/10/2015

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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