

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0104
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1. Name and Address of Reporting Person* <u>BERNARD EDWARD C</u>			2. Date of Event Requiring Statement (Month/Day/Year) <u>05/06/2020</u>			3. Issuer Name and Ticker or Trading Symbol <u>LPL Financial Holdings Inc. [LPLA]</u>			
(Last)	(First)	(Middle)	4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director Officer (give title below)			5. If Amendment, Date of Original Filed (Month/Day/Year)			
C/O LPL FINANCIAL HOLDINGS INC. 4707 EXECUTIVE DRIVE			10% Owner			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person			
(Street)	(City)	(State)	(Zip)	Other (specify below)					
SAN DIEGO	CA	92121							

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	5,000	D	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

Remarks:

The signatory is signing on behalf of Edward C. Bernard pursuant to a Power of Attorney dated April 28, 2020, which is filed as Exhibit 24.1 to this filing.

/s/ Gregory M. Woods, attorney-in-fact 05/11/2020

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

SECTION 16 POWER OF ATTORNEY

I hereby constitute and appoint each of Gregory M. Woods and Robert S. Hatfield III my true and lawful attorney-in-fact to:

- (1) execute on my behalf any Form 3, Form 4 or Form 5, including any amendments, supplements or exhibits thereto (each, a "Section 16 Report")
- (2) do and perform any and all acts for and on my behalf that may be necessary or desirable to complete and execute any Section 16 Report
- (3) seek or obtain, as my representative and on my behalf, information on transactions in the Company's securities from any third party, in
- (4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be to

I hereby grant to my attorneys-in-fact hereunder, and each of them, full power and authority to do and perform any and every act and thing which

I acknowledge that this Power of Attorney does not relieve me of my responsibilities to comply with Section 16 of the Act and the attorney-in-

This Power of Attorney shall remain in full force and effect until I am no longer required to file Section 16 Reports with respect to my holding

IN WITNESS WHEREOF, I have caused this Power of Attorney to be executed as of this 28th day of April, 2020.

By: /s/ Edward C. Bernard