## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Wash

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Washington, D.C. 20049	OMB APPROVAL			
STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	OMB Number:	3235		

OMB Number:	3235-0287
Estimated average bu	rden
hours nor resnance.	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Putnam James S						2. Issuer Name and Ticker or Trading Symbol LPL Financial Holdings Inc. [ LPLA ]										all app		g Perso	10% C	wner
(Last) (First) (Middle) C/O LPL FINANCIAL HOLDINGS INC.						3. Date of Earliest Transaction (Month/Day/Year) 05/12/2015											Officer (give title below)		Other (spec below)	
75 STATE STREET						4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) BOSTON	N M.	Α (	2109												X Form filed by One Reporting Person  Form filed by More than One Reporting  Person					
(City)	(St	ate) (	Zip)																	
		Tabl	e I - Noi	n-Deriv	ative	Se	curitie	s Acc	quired,	Dis	posed o	f, or	Ben	eficia	ally (	Owne	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				Ex Day/Year) if a		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Dispo		Disposed	urities Acquired (A) sed Of (D) (Instr. 3,			4 and Se		Securities Beneficially		ership Direct ndirect r. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
										v	Amount	(A) or (D)		Price		Transaction(s) (Instr. 3 and 4)				(
Common Stock 05/					/2015				A		3,185(1	(1) A \$		\$40	10.81		.10,105.5		)	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deem Execution if any (Month/Da	n Date, Tran Cod		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ative rities ired osed	6. Date Exercisable Expiration Date (Month/Day/Year)		е	7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amoun		ount	nt		9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code V		(A) (D)				Expiration Date	of Title Shares								

## **Explanation of Responses:**

1. These shares represent restricted stock that was granted under the Issuer's Amended and Restated 2010 Omnibus Equity Incentive Plan. This restricted stock will vest in full on the first anniversary of the grant date.

## Remarks:

The signatory is signing on behalf of James S. Putnam pursuant to a Power of Attorney dated March 9, 2014, which was previously filed with the Commission.

/s/ David Bergers as attorneyin-fact

05/12/2015

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.