FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person" Ko Albert J	2. Date of Event Requiring Statement (Month/Day/Ye 01/09/2023		3. Issuer Name and Ticker or Trading Symbol <u>LPL Financial Holdings Inc.</u> [LPLA]						
(Last)     (First)     (Middle)       C/O LPL FINANCIAL HOLDINGS INC.       4707 EXECUTIVE DRIVE			4. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner Officer (give title below) Other (specify below)			<ol> <li>If Amendment, Date of Original Filed (Month/Day/Year)</li> <li>Individual or Joint/Group Filing (Check Applicable Line)</li> </ol>			
(Street) SAN DIEGO CA 92121					0000 (000	,,		I by One Reporting Person I by More than One Reporting Person	
(City)     (State)     (Zip)       Table I - Non-Derivative Securities Beneficially Owned									
1. Title of Security (Instr. 4)			. Amount Owned (Ins	of Securities Beneficially str. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect	Nature of Indirect Beneficial Ownership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)									
1. Title of Derivative Security (Instr. 4)	Expiration Date	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Under Security (Instr. 4)		e 4. Conve or Exe Price o	rcise or Indirect (	(D) Ownership (Instr. 5)	
Explanation of Responses:					Amount or Number of Shares		tive		

Remarks:

The signatory is signing on behalf of Albert J. Ko pursuant to a Power of Attorney dated December 26, 2022, which is filed as Exhibit 24.1 to this filing.

No securities are beneficially owned.

/s/ Gregory M. Woods, attorney-in-fact 01/11/2023 \*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(y).
\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78f(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

## SECTION 16 POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Gregory M. Woods and Robert S. Hatfield III, with full power of substitution, as the unit (1) request U.S. Securities and Exchange Commission (the "SEC") EDGAR access codes on the undersigned's behalf, and to prepare and execute for (2) execute for and on behalf of the undersigned, in the undersigned's capacity as a director of LPL Financial Holdings Inc. (the "Company"), (3) do and perform any and all acts for and on behalf of the undersigned that may be necessary or desirable to complete and execute any such i (4) take any other action of any type whatsoever in connection with the foregoing that, in the opinion of such attorney in fact, may be of being the undersigned hereby grants each such attorney in fact full power and authority to do and perform any and every act and thing whatsoever required to file Forms 3, 4 and 5, 1 IN WITNESS WHEREOF, the undersigned has caused this Section 16 Power of Attorney to be executed as of this 26 day of December, 2022. By: /s/ Albert J. Ko