FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL										
OMB Number:	3235-028									

37 Estimated average burden hours per response: 0.5

# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  RIEPE JAMES S						Issuer Name <b>and</b> Ticker or Trading Symbol     LPL Financial Holdings Inc. [ LPLA ]									Check all a		.,	Person(s) to Issuer	
	ast) (First) (Middle) /O LPL FINANCIAL HOLDINGS INC. 5 STATE STREET, 22ND FLOOR					3. Date of Earliest Transaction (Month/Day/Year) 05/18/2017									Offi bel	cer (give title ow)	Othe belo	er (specify w)	
(Street) BOSTON (City)	N M	Α (	02109 (Zip)		4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person				
		Tabl	le I - No	n-Deri\	ative	Sec	curitie	s Acc	uired,	Dis	posed o	f, or	Bene	ficia	ally Owr	ed			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		ur) E	2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)				nd Secu Bene Own	ficially ed Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership			
										v	Amount	(	(A) or Price		Trans	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)	
Common Stock 09				05/1	3/2017				A		3,199	(1)	Α	\$	0	64,667	D		
Common Stock			05/1	05/18/2017				A		1,968	(2)	Α	\$	0	66,635	D			
Common Stock															35,971	I	By Stone Barn LLC		
		Ta									sed of, onvertib				y Owne	Í	,	•	
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date curity or Exercise (Month/Day/Year) if any		Date,	4. Transaction Code (Instr. 8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date E Expiratio (Month/D	n Date	e ar)	Amount of		unt	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)		

#### **Explanation of Responses:**

- 1. These shares represent restricted stock that was granted under the Issuer's Amended and Restated 2010 Omnibus Equity Incentive Plan ("Plan") pursuant to the Issuer's Non-Employee Director Compensation Policy ("Policy"). This restricted stock is scheduled to vest in full on May 18, 2018.
- 2. The reporting person elected to receive these shares, which were granted under the Plan, in lieu of the cash portion of the annual retainer under the Policy.

#### Remarks:

The signatory is signing on behalf of James S. Riepe pursuant to a Power of Attorney dated March 10, 2014, which was previously filed with the Commission.

/s/ Gregory M. Woods, 05/22/2017 attorney-in-fact

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.