FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL			
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$\Box$	Check this box if no longer subject to Section 16. Form 4
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

Name and Address of Reporting Person* <u>Calder Tracy.</u>					2. Issuer Name and Ticker or Trading Symbol LPL Financial Holdings Inc. [ LPLA ]							(Check all a	Director		10% Own		
												X	Officer (give title	,		ecify below)	
(Last) (First) (Middle)					3. Date of Earliest Transaction (Month/Day/Year) 02/25/2016								MD, Deputy Chief Risk Officer				
C/O LPL FINANCIAL HOL		•			02/25/20	J16											
75 STATE STREET, 22ND F	LOOR																
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individua	6. Individual or Joint/Group Filing (Check Applicable Line)					
BOSTON MA 02109												X Form filed by One Reporting Person					
										Form filed by Mo	re than One F	Reporting Person					
(City) (S	tate)	(Zij	0)														
			7	Гable I -	Non-Der	ivative Se	curities A	cquired, D	isposed of	, or Bene	ficially Owr	ied					
2. The of occurry (mon o)				2. Transact Date (Month/Day	Exec	2A. Deemed Execution Date, ar) if any	3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D 3, 4 and 5)		```	(Instr. 5. Amount of Securities Beneficially Owned Follow Reported Transaction(s)		Ownership Form: ect (D) or Indirect (I) str. 4)	7. Nature of Indirect Beneficial Ownership (Instr.				
					(Month/Day	(Mon	th/Day/Year)	Code V	Amount		(A) or (D)		nstr. 3 and 4)	i(s) (in	Str. 4)	4)	
Common Stock					02/25/2	016		A	35	,754 <sup>(1)</sup>	A	\$0	35,754		D		
				Table I					posed of, o convertibl		cially Owner	i					
Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	ercise (Month/Day/Year) of utive	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transad (Instr. 8)	ction Code	Securities A	i. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Derivative Security (Instr. 3 and		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following	f 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title		Amount or Number of Share	s	Reported Transaction(s) (Instr. 4)	(s)		
Option to purchase Common Stock	\$19.85	02/25/2016		A		28,681		(2)	02/25/2026	Comn	non Stock	28,681	\$0	28,681	D		

1. These shares represent restricted stock units. Each restricted stock units. Each restricted stock unit represents a contingent right to receive one share of common stock on the applicable vesting date. These restricted stock units will vest ratably on each of February 25, 2017, February 25, 2018 and February 25, 2019. Vested shares will be issued to the reporting person as soon as practicable after the applicable vesting date.

2. This option becomes exercisable in three equal annual installments on each of February 25, 2017, February 25, 2018 and February 25, 2019.

# Remarks:

/s/ Gregory M. Woods, attorney-in-fact 02/29/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

### POWER OF ATTORNEY

I hereby constitute and appoint each of David P. Bergers and Gregory M. Woods, signing singly, my true and lawful attorney-in-fact to:

(1) execute on my behalf any Form 3 Initial Statement of Beneficial Ownership of Securities, Form 4 Statement of Changes of Beneficial Ownership of Securities or F

(2) do and perform any and all acts for and on my behalf that may be necessary or desirable to complete and execute any Section 16 Report and timely file such report

(3) seek or obtain, as my representative and on my behalf, information on transactions in the Company's securities from any third party, including brokers, employee

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be to my benefit, in my best in

I hereby grant to each attorney-in-fact hereunder full power and authority to do and perform any and every act and thing whatsoever necessary or desirable to be done

I acknowledge that this Power of Attorney does not relieve me of my responsibilities to comply with Section 16 of the Act and the attorneys-in-fact, in serving in su

This Power of Attorney shall remain in full force and effect until I am no longer required to file Section 16 Reports with respect to my holdings of and transactions

IN WITNESS WHEREOF, I have caused this Power of Attorney to be executed as of this 26th day of January, 2016.

By: /s/ Tracy Calder