Instruction 1(b).

FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

OMB APPROVAL										
OMB Number: 3235-0287										
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  EBERHART PAULETT						2. Issuer Name and Ticker or Trading Symbol LPL Financial Holdings Inc. [ LPLA ]									ck all app	tor	ng Per	10% O	wner
	_	AL HOLDINGS	Middle)			3. Date of Earliest Transaction (Month/Day/Year) 05/06/2021										Officer (give title below)		Other ( below)	specify
4707 EXECUTIVE DRIVE					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street) SAN DII	EGO CA	A 9	2121										X	Form	Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City)	(St	ate) (2	Zip)																
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	ficial	ly Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day)					Execution Day/Year) if any		ecution Date,							, 4 and Secu Bene		cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
								Code	v	Amount	(A) (D)	or F	Price		ction(s)			(111341. 4)	
Common Stock 05/06/2				2021		A		1,051(1)		A	\$ <mark>0</mark>	0 21,183			D				
		Tal									osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	rivative Conversion Date Execution Date, curity or Exercise (Month/Day/Year) if any			on Date,	4. Transaction Code (Instr. 8)		of	ired r osed ) r. 3, 4	Expiration Day (Month/Day/Y		te	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		str.	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership t (Instr. 4)
			Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	or Num of Share	.							

## **Explanation of Responses:**

1. Represents stock units granted under the Issuer's 2021 Omnibus Equity Incentive Plan. Each stock unit represents the right to receive one share of common stock and is scheduled to vest in full on May 17, 2022. These stock units are subject to a written deferral election under the Issuer's Non-Employee Director Deferred Compensation Plan pursuant to which the reporting person elected to defer receipt of the equity portion of the annual retainer under the Issuer's Non-Employee Director Compensation Policy.

The signatory is signing on behalf of Paulett Eberhart pursuant to a Power of Attorney dated November 21, 2014.

/s/ Gregory M. Woods, attorney-in-fact

\*\* Signature of Reporting Person Date

05/10/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.