LPL Financial Reports Monthly Activity for October 2026

Nov 19, 2026

SAN DIEGO, Nov. 19, 2026 (GLOBE NEWSWIRE) -- LPL Financial Holdings Inc. (Nasdaq: LPLA) (the “Company”) today released its monthly activity report for October 2026.

Total client assets at the end of October were $2.56 trillion, a decrease of $3.7 billion, or 0.1%, compared to the end of September. Advisory assets as a percentage of total assets was 60.9%, up from 58.5% a year ago.

Total organic net new assets (“NNA”) for October were $7.0 billion, translating to a 3.3% annualized growth rate.

Total client cash balances at the end of October were $55.9 billion, a decrease of $0.7 billion compared to the end of September. Net buying in October was $12.6 billion.

(End of period $ in billions, unless noted)	October 2026	September 2026	Change M/M	October 2025	Change Y/Y
Client Assets					
Advisory	1,559.0	1,561.0	(0.1%)	1,374.4	13.4%
Brokerage	1,001.9	1,003.6	(0.2%)	976.8	2.6%
Total Client Assets	**2,560.9**	**2,564.6**	**(0.1%)**	**2,351.1**	**8.9%**
Organic NNA					
Advisory	8.2	10.4	n/m	9.2	n/m
Brokerage	(1.2)	(2.2)	n/m	(2.0)	n/m
Total Organic NNA	**7.0**	**8.2**	**n/m**	**7.3**	**n/m**
Acquired NNA					
Advisory	0.0	0.0	n/m	0.0	n/m
Brokerage	0.0	0.0	n/m	0.0	n/m
Total Acquired NNA	**0.0**	**0.0**	**n/m**	**0.0**	**n/m**
Total NNA					
Advisory	8.2	10.4	n/m	9.2	n/m
Brokerage	(1.2)	(2.2)	n/m	(2.0)	n/m
Total NNA	**7.0**	**8.2**	**n/m**	**7.3**	**n/m**
Net brokerage to advisory conversions	2.5	2.5	n/m	2.8	n/m
Client Cash Balances					
Insured cash account sweep	37.3	37.8	(1.3%)	36.4	2.5%
Deposit cash account sweep	15.6	16.0	(2.5%)	12.8	21.9%
Total Bank Sweep	**52.9**	**53.8**	**(1.7%)**	**49.2**	**7.5%**
Money market sweep	0.9	1.0	(10.0%)	4.1	(78.0%)
Total Client Cash Sweep Held by Third Parties	**53.8**	**54.8**	**(1.8%)**	**53.2**	**1.1%**
Client cash account	2.1	1.8	16.7%	1.6	31.3%
Total Client Cash Balances	**55.9**	**56.6**	**(1.2%)**	**54.9**	**1.8%**
Net buy (sell) activity	12.6	13.3	n/m	14.3	n/m
Market Drivers					
S&P 500 Index (end of period)	7,580	7,652	(0.9%)	6,840	10.8%
Russell 2000 Index (end of period)	2,812	2,797	0.5%	2,479	13.4%
Fed Funds daily effective rate (average bps)	388	375	3.5%	408	(4.9%)

Note: Totals may not foot due to rounding.

For additional information regarding these and other Company business metrics, please refer to the Company's most recent earnings announcement, which is available in the quarterly results section of investor.lpl.com.

Contacts

Investor Relations
investor.relations@lplfinancial.com

Media Relations
media.relations@lplfinancial.com

About LPL Financial

LPL Financial Holdings Inc. (Nasdaq: LPLA) is among the fastest growing wealth management firms in the U.S. As a leader in the financial advisor-mediated marketplace, LPL supports more than 32,000 financial advisors and the wealth management practices of approximately 1,100 financial institutions, servicing and custodying approximately $2.6 trillion in brokerage and advisory assets on behalf of approximately 8 million Americans. The firm provides a wide range of advisor affiliation models, investment solutions, fintech tools and practice management services, ensuring that advisors and institutions have the flexibility to choose the business model, services, and technology resources they need to run thriving businesses. For further information about LPL, please visit www.lpl.com.

Securities and advisory services offered through LPL Financial LLC ("LPL Financial") and LPL Enterprise, LLC ("LPL Enterprise"), both registered investment advisers and broker-dealers. Members FINRA/SIPC.

Throughout this communication, the terms "financial advisors" and "advisors" are used to refer to registered representatives and/or investment advisor representatives affiliated with LPL Financial or LPL Enterprise.

We routinely disclose information that may be important to shareholders in the "Investor Relations" or " Press Releases" section of our website.