LPL Financial Welcomes Aligne Wealth Advisors Investment Management (AWAIM®)

Jun 22, 2022

CHARLOTTE, N.C., June 22, 2022 (GLOBE NEWSWIRE) -- LPL Financial LLC announced today that Ivan Illán has joined LPL Financial as a Hybrid RIA with the launch of Aligne Wealth Advisors Investment Management (AWAIM®), an SEC-registered investment adviser and wholly owned business unit of Aligne Wealth Preservation & Insurance Services, LLC. He reported having served approximately $300 million in advisory, brokerage and retirement plan assets* and joins from MML Investors Services, LLC.

Illán was first inspired to pursue economics from his grandfather, who served as Undersecretary of the Treasury of Cuba. From a young age, he learned that how you allocate capital has serious implications for what comes next. Today, Illán is an experienced investment manager and a top selling finance author whose book, Success as a Financial Advisor For Dummies, spent several weeks at number one on Amazon when it was released in December 2018.

Operating out of Century City, Calif., Illán and his team offer investment and financial planning services that are specially designed to support business owners and retiring corporate executives. “Our firm has championed advisor accountability from day one and we credit our success to the long-term relationships we’ve built through open and honest conversations,” Illán said.

Why AWAIM chose LPL Financial

Since 2012, AWAIM has been serving the investment needs of retail clients and financial advisors with a focus on Southern California, Florida and New York. With the goal of finding a partner that would allow for improved client communication and support, the team turned to LPL Financial.

“LPL’s Hybrid RIA business model allows us to communicate substantively with our clients, which is even more important given the shifting dynamic of capital markets in recent years,” said Illán. “We now have the opportunity to provide our clients with ongoing education on our economic outlook and its impact to their portfolios while enabling routine client self-service activities through LPL’s online platforms. I’m also excited to have a partner that enables us to grow our business inorganically, through attracting new financial advisor relationships, in a more extensive way.”

Scott Posner, LPL executive vice president, Business Development, said, “We’re thrilled to welcome Ivan and Aligne Wealth Advisors Investment Management to the LPL community. I’m impressed by the team’s passion for providing a differentiated client experience and their ability to go the extra mile to keep an open line of communication with their clients. As their partner, we remain committed to providing both their advisors and staff with the innovative technology, integrated platforms and resources that will allow them to run their practice seamlessly. We look forward to a long-lasting relationship with them for many years to come.”

Related
Learn more about AWAIM®

Advisors, find an LPL business development representative near you.

About LPL Financial
LPL Financial (Nasdaq: LPLA) was founded on the principle that the firm should work for the advisor, and not the other way around. Today, LPL is a leader in the markets we serve,** supporting over 20,000 financial advisors, and approximately 800 institution-based investment programs and approximately 500 independent RIA firms nationwide. We are steadfast in our commitment to the advisor-centered model and the belief that Americans deserve access to personalized guidance from a financial advisor. At LPL, independence means that advisors have the freedom they deserve to choose the business model, services, and technology resources that allow them to run their perfect practice. And they have the freedom to manage their client relationships, because they know their clients best. Simply put, we take care of our advisors, so they can take care of their clients.

**Value approximated based on asset and holding details provided to LPL from the year 2021*

***Top RIA custodian (Cerulli Associates, 2020 U.S. RIA Marketplace Report); No. 1 Independent Broker-Dealer in the U.S. (Based on total revenues, Financial Planning magazine 1996-2021); No. 1 provider of third-party brokerage services to banks and credit unions (2020-2021 Kehrer Bielan Research & Consulting Annual TPM Report); Fortune 500 Company as of June 2021. LPL and its affiliated companies provide financial services only from the United States.*

Investment advisory services offered through Aligne Wealth Advisors Investment Management (AWAIM®), an SEC-registered investment adviser.

Throughout this communication, the terms “financial advisors” and “advisors” are used to refer to registered representatives and/or investment advisor representatives affiliated with LPL Financial LLC. We routinely disclose information that may be important to shareholders in the “Investor Relations” or “Press Releases” section of our website.

Aligne Wealth Preservation & Insurance Services, LLC DBA Aligne Wealth Advisors Investment Management (AWAIM®) and LPL Financial are separate entities.

Connect with Us!

https://twitter.com/lpl

https://www.linkedin.com/company/lpl-financial

https://www.facebook.com/LPLFinancialLLC

https://www.youtube.com/user/lplfinancialllc

Media Contact:
Linda Morgan
(805) 640-5391
Media.relations@lplfinancial.com