



## LPL Financial Releases 2022 Sustainability Report

Apr 5, 2022

CHARLOTTE, N.C., April 05, 2022 (GLOBE NEWSWIRE) -- LPL Financial Holdings Inc. (Nasdaq: LPLA) (the "Company"), the parent corporation of LPL Financial LLC, today announced the release of its 2022 Sustainability Report detailing the firm's progress toward its environmental, social and governance (ESG) goals. Guided by the company's continued ESG vision to take care of its advisors and their clients by operating responsibly and ethically, the report reflects the firm's progress in key focus areas, including diversity, equity and inclusion; responsible sourcing; sustainable investing; environmental stewardship; and community support.

[Read the Full Report Here](#)

Highlights from this year's report include:

- Growth in LPL sustainable investing; client assets in investments reflective of ESG criteria doubled to \$770.6 million, compared to 2020
- Inaugural appearance among Newsweek's 2021 [America's Most Responsible Companies](#) ranking
- [Ranked](#) by Just Capital among the Top 100 U.S. Companies Supporting Healthy Families and Communities
- The LPL Financial Foundation, which focuses on advancing the economic well-being of underserved populations, formed two new charitable [partnerships](#) with The American College of Financial Services and LISC (Local Initiatives Support Corporation)
- Of the 2021 class of LPL interns, 75 percent identified as a woman or a person of color
- Completed first diverse supplier assessment and began exploring new opportunities to partner with diverse suppliers, including women-, minority-, veteran-, and disabled- owned businesses

"At LPL, we believe it is our responsibility to evaluate and manage the ESG factors that we affect through our work. By applying an ESG lens to all of our business priorities, we are able to demonstrate our commitment to operating responsibly to drive a sustainable business," said Heather Randolph Carter, LPL executive vice president, Chief Marketing and Communication Officer. "Each year, this annual report offers us a tangible benchmark for how we're moving the needle on ESG in our everyday business, while also challenging us to constantly reimagine the way we work to facilitate and promote long-term sustainable strategies and practices."

The 2022 Sustainability Report demonstrates LPL's commitment to its stakeholders – including the approximately 20,000 financial advisors and the millions of clients they serve – by disclosing the firm's dedication and actions toward operating responsibly and ethically. The report includes a Sustainable Accounting Standards Board (SASB) and Global Reporting Initiative (GRI) index to further the firm's initiative of transparency.

To read the full 2022 report, visit [LPL's Sustainability Report](#).

### **About LPL Financial:**

LPL Financial (Nasdaq: LPLA) was founded on the principle that the firm should work for the advisor, and not the other way around. Today, LPL is a leader in the markets we serve,\* supporting nearly 20,000 financial advisors, and approximately 800 institution-based investment programs and 500 independent RIA firms nationwide. We are steadfast in our commitment to the advisor-centered model and the belief that Americans deserve access to personalized guidance from a financial advisor. At LPL, independence means that advisors have the freedom they deserve to choose the business model, services, and technology resources that allow them to run their perfect practice. And they have the freedom to manage their client relationships, because they know their clients best. Simply put, we take care of our advisors, so they can take care of their clients.

*\*Top RIA custodian (Cerulli Associates, 2020 U.S. RIA Marketplace Report); No. 1 Independent Broker-Dealer in the U.S (Based on total revenues, Financial Planning magazine 1996-2021); No. 1 provider of third-party brokerage services to banks and credit unions (2020-2021 Kehler Bielan Research & Consulting Annual TPM Report); Fortune 500 Company as of June 2021. LPL and its affiliated companies provide financial services only from the United States.*

Securities and advisory services offered through LPL Financial LLC, an SEC-registered broker-dealer and investment advisor. Member FINRA/ SIPC.

Throughout this communication, the terms "financial advisors" and "advisors" are used to refer to registered representatives and/or investment advisor representatives affiliated with LPL Financial LLC. We routinely disclose information that may be important to shareholders in the "Investor Relations" or "Press Releases" section of our website.

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