LPL Financial

LPL Financial Welcomes JBA Wealth Management Group

Oct 9, 2018

CHARLOTTE, N.C. – Oct. 9, 2018 – LPL Financial LLC, a leading retail investment advisory firm and independent broker-dealer, today announced that JBA Wealth Management Group has joined LPL's broker-dealer and corporate registered investment advisor (RIA) platforms. The JBA team is made up of eight advisors, including founder Dan Johnson. The firm's advisors reported that they served approximately $275 million of client brokerage and advisory assets as of Aug. 15, 2018*.

Johnson founded the firm in 1984, and today JBA operates out of two offices, in Fort Collins and Scotts Bluff, Colo. Advisors include Melissa Dixon, Tami Eberhardt, Barb Bruen, Matt Dixon, Darin Luze, Ron Platz and Denny Finken, several of whom were clients before becoming advisors with the firm. The firm joins LPL from Securities America, Inc.

"We liked that LPL is solely focused on supporting advisors. We saw right away that they are really hands on," said Melissa Dixon. "The technology was another big factor in our decision. ClientWorks helps to streamline processes and is really user friendly."

JBA takes a team approach in their delivery of financial planning services. With three women on their team, Dixon acknowledged their firm is "not the industry norm. We're excited about that," she said. "We bring a broader perspective to our clients and that helps us develop deeper connections with our clients." JBA's clients range from young families just beginning to save and invest, to their parents who are near or in retirement. Two advisors largely focus on serving federal employees, Dixon said.

JBA's plan for growth is to add more advisors as well as increase support to clients interested in socially responsible investing. The firm is committed to social responsibility, having become a Certified B Corporation, which demonstrates a company has met standards to balance profit and purpose.

"We welcome the JBA team to the LPL family," said Rich Steinmeier, LPL managing director and divisional president, Business Development. "We are committed to supporting our advisors by making investments in the technology, capabilities and resources that help them grow their businesses in the ways that matter most to them. We are proud to support the diverse JBA team and look forward to supporting their growth and their passion for doing meaningful work."

Read about other firms that recently joined LPL in the News and Media section of LPL.com.

Learn more about JBA Wealth Management Group.

About LPL Financial

LPL Financial is a leader in the retail financial advice market and the nation's largest independent broker-dealer**. We serve independent financial advisors and financial institutions, providing them with the technology, research, clearing and compliance services, and practice management programs they need to create and grow thriving practices. LPL enables them to provide objective guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions.

Securities and Advisory Services offered through LPL Financial. A registered investment advisor, Member FINRA/SIPC.

*Based on prior business and represents assets that would have been custodied at LPL Financial, rather than third-party custodians. Reported assets have not been independently and fully verified by LPL Financial.

**Based on total revenues, *Financial Planning* magazine June 1996-2018

LPL Financial and JBA Wealth Management are separate entities.