



LPL Financial Welcomes Graham Nelson

May 9, 2019

CHARLOTTE, N.C., May 09, 2019 (GLOBE NEWSWIRE) -- [LPL Financial LLC](#), a leading retail investment advisory firm and independent broker-dealer, today announced that financial advisor Graham Nelson has joined LPL Financial's broker-dealer and corporate registered investment advisor (RIA) platforms. Nelson reported having served approximately \$130 million of client brokerage and advisory assets*. He joins from Cadaret Grant & Co.

Nelson co-founded the Clinton, N.Y.-based Mohawk Valley Capital Management in 2004, electing to stay near his hometown after college when most of his classmates were moving to large cities to begin their careers. "I wanted to stay near my family and help locals with financial issues. Through networking and word of mouth, I built my practice one client at a time," Nelson said, by providing clients comprehensive, individualized financial advice with no minimums.

Before joining LPL, Nelson served as advisor as well as the firm's OSJ Manager, supporting five additional independent advisors. He chose to move to LPL Financial to evolve his business model, choosing to outsource back office functions by aligning with the firm's corporate RIA and focusing on growth by building an LPL practice to attract advisors and clients.

"LPL has extensive investment tools, platforms and research that we believe will help us better serve our clients' needs. The matrix of connected technology within the ClientWorks platform is huge. Being able to see a single picture of total accounts and assets will create more meaningful experiences for clients," Nelson said. "LPL is a leader in the industry, and I know we can count on its scale and resources to help grow Mohawk Capital Management in the coming years."

Rich Steinmeier, LPL Financial managing director and divisional president, Business Development, said, "We provide a range of affiliation models so that advisors can operate their businesses in the ways that matter most to them and their clients, with an integrated technology platform and robust wealth management services backing each of them. As the environment changes, so do the needs of an advisors' business. We welcome Graham and his firm to LPL, and are committed to helping him meet the goals he has for his practice today, with the ability to invest and innovate to help him evolve and adapt for the future."

Read about other firms that recently joined LPL in the [LPL Financial News and Media section](#) of LPL.com.

About LPL Financial

LPL Financial (<https://www.lpl.com>) is a leader in the retail financial advice market and the nation's largest independent broker-dealer**. We serve independent financial advisors and financial institutions, providing them with the technology, research, clearing and compliance services, and practice management programs they need to create and grow thriving practices. LPL enables them to provide objective guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions.

Securities and advisory services offered through LPL Financial, a Registered Investment Advisor, Member FINRA/SIPC.

*Based on prior business and represents assets that would have been custodied at LPL Financial, rather than third-party custodians. Reported assets and client numbers have not been independently and fully verified by LPL Financial.

**Based on total revenues, *Financial Planning* magazine June 1996-2018

Mohawk Capital Management and LPL Financial are separate entities.

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