



## LPL Financial and Gateway Financial Partners Welcome Kevin Carroll and Sam Shehu

Mar 21, 2019

CHARLOTTE, N.C., March 21, 2019 (GLOBE NEWSWIRE) -- [LPL Financial LLC](#), a leading retail investment advisory firm and independent broker-dealer, today announced that financial advisors Kevin Carroll and Sam Shehu have joined LPL Financial, aligning with Gateway Financial Partners (Gateway). The advisors will be supported by LPL Financial's broker-dealer and corporate registered investment advisor (RIA) platforms and reported having served approximately \$110 million of client brokerage and advisory assets\*. They join from Wells Fargo Advisors.

The Glastonbury, Conn.-based advisors provide comprehensive wealth management, offering access to a range of investment options, from individual stocks to fixed-income strategies, with the goal of helping clients save and invest for retirement. They chose Gateway and LPL Financial for support in growing their practice, pointing to LPL's technology and integrated platform combined with Gateway's strategic marketing and leadership as key factors in their decision.

"Joining LPL and aligning with Gateway allows us the flexibility to run our firm the way we want to, which is having the freedom to decide what is best for our clients," said Carroll. "With Gateway's marketing and team support we are able to launch our new business and be positioned for ongoing growth. And LPL offers us the platform to be more efficient and helps us deliver a better experience to our clients."

"We are excited to welcome Kevin and Sam, and are proud that our support and our team stood out to them in the independent market," said David Wood, founder of Gateway Financial Partners. "Our front- and middle-office resources allowed them a quick and efficient transition to our firm, and we were able to quickly match them with a full suite of marketing and technology resources to launch their new business right at day one. We also have the advantage of LPL behind us, with the scale and the financial stability to be a trusted partner."

Rich Steinmeier, LPL Financial managing director and divisional president, Business Development, said, "We congratulate Gateway and Kevin and Sam on this new partnership. We are dedicated to delivering our advisors with the market-leading resources, tools and technology that help them win in their markets. We look forward to a long and productive relationship with the entire Gateway team."

Read about how [LPL's technology and integrated platform](#) improves the advisor experience.

Find out about other firms that recently joined LPL in the [LPL Financial News and Media section](#) of LPL.com.

### About LPL Financial

LPL Financial (<https://www.lpl.com>) is a leader in the retail financial advice market and the nation's largest independent broker-dealer\*\*. We serve independent financial advisors and financial institutions, providing them with the technology, research, clearing and compliance services, and practice management programs they need to create and grow thriving practices. LPL enables them to provide objective guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions.

Securities and advisory services offered through LPL Financial, a registered investment advisor, Member FINRA/SIPC. Gateway Financial Partners are a separate entity from LPL Financial.

\*Based on prior business and represents assets that would have been custodied at LPL Financial, rather than third-party custodians. Reported assets and client numbers have not been independently and fully verified by LPL Financial.

\*\*Based on total revenues, *Financial Planning* magazine June 1996-2018

LPL Financial and Gateway Financial Partners are separate entities.

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