LPL Financial Welcomes Regal Wealth Advisors

Jan 23, 2019

CHARLOTTE, N.C., Jan. 23, 2019 (GLOBE NEWSWIRE) -- LPL Financial LLC, a leading retail investment advisory firm and independent broker-dealer, today announced that Regal Wealth Advisors (Regal) has joined LPL Financial's broker-dealer and corporate registered investment advisor platforms. Regal managed approximately $350 million of client brokerage, advisory and retirement plan assets*. The firm joins from Kestra Financial.

The firm consists of four financial advisors: Michael McCarthy, Andrew Pincus, Gregg Gottlieb and Fred DaVeiga, each holding the CFP® certification and having 20-plus years of experience. They operate from three states, New Jersey, South Carolina and Florida, serving primarily multi-generational families by taking a holistic approach to supporting all facets of wealth management.

"We often coordinate with our clients' attorneys and accountants and consider ourselves financial stewards or quarterbacks. Our mission is to help clients achieve their individual and family goals," Pincus said. "Because of our efforts, our firm has grown exponentially and we need advanced technology and a broker-dealer that has the size and scale to support our continued growth."

"After looking at the integrated platform, concierge level of support and client-facing capabilities that LPL Financial provides, it was a simple choice," McCarthy added. "We believe that LPL can help us meet the demands of our growing firm and help us take our firm to the next level."

Rich Steinmeier, LPL Financial managing director and divisional president, Business Development, said, "The Regal Wealth Advisor team is an experienced and successful team, and we are excited they chose LPL to help them maximize their growth potential. With our market leadership position, we are able to invest back into our advisors' business and deliver differentiated capabilities that can bring more value to their clients and their firm. We welcome Michael, Andrew and the rest of the Regal Wealth Advisor team to LPL and look forward to being their long-term partner."

Read about other firms that recently joined LPL in the News and Media section of LPL.com.

About LPL Financial
LPL Financial is a leader in the retail financial advice market and the nation's largest independent broker-dealer**. We serve independent financial advisors and financial institutions, providing them with the technology, research, clearing and compliance services, and practice management programs they need to create and grow thriving practices. LPL enables them to provide objective guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions. LPL.com

Securities and advisory services offered through LPL Financial, a registered investment advisor, Member FINRA/SIPC.
*Based on prior business. Reported assets have not been independently and fully verified by LPL Financial.
**Based on total revenues, *Financial Planning* magazine June 1996-2018
LPL Financial and Regal Wealth Advisors are separate entities.

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