FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT	OF CHANGES	IN BENEFICIAL	OWNERSHIP

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  Enyedi Matthew					2. IS LF	2. Issuer Name and Ticker or Trading Symbol LPL Financial Holdings Inc. [ LPLA ]							(Ch	eck all applic	able) r	Person(s) to Iss	wner
(Last) C/O LPL	,	rst) AL HOLDINGS	(Middle)		3. Date of Earliest Transaction (Month/Day/Year) 02/20/2024								below)	(give title Managing	Other ( below) g Director		
4707 EXECUTIVE DRIVE				4. It	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street) SAN DIEGO CA 92121				-									X Form filed by One Reporting Person  Form filed by More than One Reporting  Person				
(City) (State) (Zip)					Rı	Rule 10b5-1(c) Transaction Indication											
					X	Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.											
		Tab	le I - No	n-Deriv	vative	e Se	curit	ies A	quired	, Dis	posed o	f, or Be	neficial	y Owned			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da				Execution Date		, Transaction Disposed Code (Instr.		ies Acquired (A) or Of (D) (Instr. 3, 4 and		Beneficially Owned Following		Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership				
									Code	v	Amount	(A) or (D)	Price	Reported Transact (Instr. 3	ion(s)		(Instr. 4)
Common Stock 02/20/				0/2024	2024		M <sup>(1)</sup>		1,381	A	\$45.5	5 10	10,015				
Common Stock 02/20/2			0/2024	2024			S <sup>(2)</sup>		1,381	D \$256.9		4 8,634 <sup>(3)</sup>		D			
		1	Γable II -						. ,		osed of, convertil		•	Owned			
Derivative Conversion Date Execution Date, T General Conversion or Exercise (Month/Day/Year) or Exercise (Month/Day/Year)				ransaction of ode (Instr. Derivative		vative urities uired or oosed O) tr. 3, 4	6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4	Beneficial Ownership (Instr. 4)		
					Code	v	(A)	(D)	Date Exercisat	le	Expiration Date	Title	Amount or Number of Shares				
Option to purchase Common Stock	\$45.55	02/20/2024			M <sup>(1)</sup>			1,381	03/06/201	8 <sup>(4)</sup>	03/06/2025	Common Stock	1,381	\$0	0	D	

## **Explanation of Responses:**

- 1. The stock option exercise reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 20, 2023.
- 2. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 20, 2023.
- 3. Consists of (i) 5,484 shares of Common Stock; (ii) 655 restricted stock units that vest in full on February 25, 2024; (iii) 1,014 restricted stock units that vest ratably on each of February 25, 2024 and February 25, 2025; and (iv) 1,481 restricted stock units that vest ratably on each of February 25, 2024, February 25, 2025 and February 25, 2026.
- 4. This option became exercisable in full on the third anniversary of the date on which it was granted.

The signatory is signing on behalf of Matthew Enyedi pursuant to a Power of Attorney dated December 31, 2019.

/s/ Gregory M. Woods, attorney-in-fact \*\* Signature of Reporting Person

02/22/2024

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.