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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

|   | Check this hav if no langer subject to |
|---|--|
|   | Check this box if no longer subject to |
| 1 | Section 16. Form 4 or Form 5           |
|   | obligations may continue. See          |
|   | Instruction 1(b).                      |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

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|---------------------|--------|
| Estimated average t | ourden |

| 1. Name and Address of Reporting Person*<br>EBERHART PAULETT |                          |                      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol<br>LPL Financial Holdings Inc. [LPLA] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                                     |                       |  |  |  |
|--|--------------------------|----------------------|--|---|-------------------------------------|-----------------------|--|--|--|
| <u>EDEMIANT TAOLETT</u>                                      |                          |                      |  | X   | Director                            | 10% Owner             |  |  |  |
| (Last)<br>C/O LPL FINAM                                      | (First)<br>NCIAL HOLDING | (Middle)<br>SS INC., | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/18/2017                           |   | Officer (give title below)          | Other (specify below) |  |  |  |
| 75 STATE STREET, 22ND FLOOR                                  |                          |                      | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                 | 6. Individual or Joint/Group Filing (Check Applica                      |                                     |                       |  |  |  |
| ,  |                          |                      | 4. If Amendment, Date of Original Filed (Month/Day/Tear)                                 | Line)   |                                     |                       |  |  |  |
| (Street)   |                          |                      |  | X   | Form filed by One Reporti           | ing Person            |  |  |  |
| BOSTON   | MA                       | 02109                |  |   | Form filed by More than C<br>Person | One Reporting         |  |  |  |
| (City)   | (State)                  | (Zip)                |  |   |                                     |                       |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   |                             |               |                   | Securities                         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------|--|---|------|---|-----------------------------|---------------|-------------------|------------------------------------|---|---|
|                                 |  |   | Code | v | Amount                      | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4) |   | (mour 4)  |
| Common Stock                    | 05/18/2017                                 |   | A    |   | <b>3,199</b> <sup>(1)</sup> | Α             | \$ <mark>0</mark> | 11,262                             | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | 5. Nu<br>of<br>Deriv<br>Secu<br>Acqu<br>(A) or<br>Dispo<br>of (D)<br>(Instr<br>and 5 | ative<br>rities<br>ired<br>osed | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|---------------------------------|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                             | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

#### Explanation of Responses:

1. These shares represent restricted stock that was granted under the Issuer's Amended and Restated 2010 Omnibus Equity Incentive Plan pursuant to the Issuer's Non-Employee Director Compensation Policy. This restricted stock is scheduled to vest in full on May 18, 2018.

#### Remarks:

The signatory is signing on behalf of Paulett Eberhart pursuant to a Power of Attorney dated November 21, 2014, which was previously filed with the Commission.

<u>/s/ Gregory M. Woods, as</u> <u>attorney-in-fact</u>

05/22/2017

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date