FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

vasimgton, b.o. 20040

OMB APPROVAL									
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#### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Last) (First) (Middle) (Month/Day/Year) (Month/Day/Year) (Strate STREET, 22ND FLOOR (Street) BOSTON MA 02109  Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (Month/Day/Year) (	Name and Address of Reporting Person*     Gooley Thomas						2. Issuer Name <b>and</b> Ticker or Trading Symbol LPL Financial Holdings Inc. [ LPLA ]									Check	all app	olicable)		ssuer Owner (specify
City   State   City	C/O LPL FINANCIAL HOLDINGS INC.,															below) below)				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  2. Transaction Date (Month/Day/Year)  3. Transaction (Month/Day/Year)  3. Transaction Date (Month/Day/Year)  4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  5. Amount of Securities Beneficially Owned Endowned Experies of Disposed Of (D) (Instr. 4)  5. Amount (A) or Disposed Of (D) (Instr. 3, 4 and 4)  5. Amount (A) or Disposed Of (D) (Instr. 4)  5. Amount (A) or Disposed Of (D) (Instr. 4)  5. Amount (A) or Disposed Of (D) (Instr. 4)  6. Ownership Form: Direct (D) (Instr. 4)  7. Title and Amount of Securities (Instr. 5)  8. Price of Derivative Securities (Instr. 4)  9. Number of Derivative Securities (Instr. 4)  9. N	(Street)					4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)									X Form filed by One Reporting Person Form filed by More than One Reporting				
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Year)  3. Transaction Disposed Of (D) (Instr. 3, 4 and 5)  4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)  5. Amount of Securities Beneficially Owned Following Reported Transaction(S) (Instr. 3)  6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)  7. Nature of Indirect (I) (Instr. 4)  8. Price of Or Beneficially Owned (E.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Securities  2. Conversion or Exercise Price of Date (Month/Day/Year)  8. Price of Derivative Securities  8. Price of Derivative Security (Instr. 3)  8. Price of Derivative Securities Deneficially Owned (Month/Day/Year)  9. Number of derivative Securities Deneficially Owned (Month/Day/Year)  1. Title of Derivative Securities  1. Transaction Date (Month/Day/Year)  1. Transaction or Exercisable and Expiration Date (Month/Day/Year)  1. Title of Derivative Securities  1. Transaction Or Date (Instr. 4)  1. Nature (I) (Instr. 4)  1. Nature (Instr. 4)  1. Nature (Instr. 4)  2. Number	(City)	(St	ate) (2	Zip)			Person													
Date (Month/Day/Year)   Execution Date, if any (Month/Day/Year)   Code (Instr. 3)   Code (Instr. 4)			Tabl	e I - Nor	n-Deriv	ative	Sec	curitie	s Ac	quired,	Dis	posed o	f, or	Ben	eficia	ally	Owne	ed		
Common Stock    08/06/2018   F   1,134   D   \$67.22   21,292(1)   D	Date						Execution ay/Year) if any			Transa Code	Transaction Disposed Code (Instr. 5)			ities Acquired (A) d Of (D) (Instr. 3, 4			Securi Benefi Owner	ties cially d Following	Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)  1. Title of Derivative Security (Instr. 3)  1. Title of Derivative Security (Instr. 3)  2. Conversion or Exercise Price of Derivative Security (Instr. 3)  3. Transaction Date (Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  4. Transaction Date (Month/Day/Year)  5. Number of Derivative Securities (Month/Day/Year)  9. Number of Derivative Security (Instr. 5)  10. Ownership Form: Derivative Security (Instr. 3)  11. Nature (Month/Day/Year)  12. Date Exercisable and Expiration Date (Month/Day/Year)  13. Transaction Date (Month/Day/Year)  14. Title of Derivative Security (Instr. 3)  15. Number of Derivative Security (Instr. 3)  16. Derivative Security (Instr. 4)  17. Title and Amount of Security (Instr. 3)  18. Price of Derivative Security (Instr. 4)  19. Number of derivative Security (Instr. 4)  10. Ownership Form: Direct (D) Or Indirect (I) (Instr. 4)  10. Ownership Form: Derivative Security (Instr. 3)  11. Nature (Month/Day/Year)  11. Nature (Month/Day/Year)  12. Date Exercisable and Securities (Indirect (I) (Instr. 4)  10. Ownership Form: Direct (D) Or Indirect (I) (Instr. 4)  11. Nature (Instr. 4)										Code	v	Amount			Price		Transa	action(s)		(111341.4)
1. Title of Derivative Security (Instr. 3)  1. Title of Derivative Security (Security Security Securit	Common Stock					08/06/2018						1,134		D	D \$67.2		22 21,292(1)		D	
Derivative Security (Instr. 3)  Instruction Date (Month/Day/Year)  Date (Month/Day/Year)  Date (Month/Day/Year)  Instruction Date (Month/Day/Year)  Date (Month/Day/Year)  Date (Month/Day/Year)  Instruction Date (Month/Day/Year)  Derivative Securities Price of Derivative Securities Price of Derivative Security (Instr. 3 and 4)  Derivative Security (Instr. 3 and 4)  Derivative Securities Securit																				
	Derivative Security	rivative Conversion curity or Exercise str. 3) Price of Derivative Execution Date (Month/Day/Year) Execution Date if any (Month/Day/Year)			Date,	Transaction Code (Instr.		of Deriv Secu Acqu (A) or Dispo of (D) (Instr	ative rities ired sed	Expiratio	Expiration Date			Amount of Securities Underlying Derivative Security (Instr. and 4)			vative ırity	derivative Securities Beneficially Owned Following Reported Transaction	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

### **Explanation of Responses:**

1. Consists of (i) 7,997 shares of Common Stock; (ii) 1,830 restricted stock units that vest in full on February 25, 2019; (iii) 3,111 restricted stock units that vest in full on February 25, 2019; (iv) 976 restricted stock units that vest in full on June 13, 2019; (v) 3,958 restricted stock units that vest ratably on each of March 13, 2019 and March 13, 2020; and (vi) 3,420 restricted stock units that vest ratably on each of February 23, 2019, February 23, 2020 and February 23, 2021.

## Remarks:

The signatory is signing on behalf of Thomas Gooley pursuant to a Power of Attorney dated June 27, 2015.

/s/ Gregory M. Woods, attorney-in-fact

08/08/2018

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.