SEC Form 4	
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPRO	3235-0287								
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hours ner response.	05								

			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>LPL Financial Holdings Inc.</u> [ LPLA ]		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner		
,				x	Officer (give title below)	Other (specify below)	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		,	,	
C/O LPL FIN	NANCIAL HOL	DINGS INC.	06/02/2015		MD, Chief Compli	ance Officer	
75 STATE ST	75 STATE STREET, 24TH FLOOR						
,			4. If Amendment, Date of Original Filed (Month/Day/Year)		/idual or Joint/Group Fili	ng (Check Applicable	
(Street)				Line)			
BOSTON MA 02109				X	Form filed by One Re	porting Person	
	IVIA	02109			Form filed by More the Person	an One Reporting	
(City)	(State)	(Zip)					

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	06/02/2015		М		5,050	A	\$ <mark>30</mark>	11,528	D	
Common Stock	06/02/2015		М		1,343	Α	\$34.61	12,871	D	
Common Stock	06/02/2015		S <sup>(1)</sup>		6,393	D	\$43.5	6,478 <sup>(2)</sup>	D	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) ( Disp of (I	oosed D) tr. 3, 4	Expiration Date (Month/Day/Year) sed 3, 4		Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Option to purchase Common Stock	\$30	06/02/2015		М			5,050	(3)	11/17/2020	Common Stock	5,050	\$30	5,050	D	
Option to purchase Common Stock	\$34.61	06/02/2015		М			1,343	(4)	12/22/2020	Common Stock	1,343	\$34.61	8,657	D	

#### Explanation of Responses:

1. The sale reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 13, 2015.

2. Consists of (i) 2,127 shares of Common Stock; (ii) 1,266 restricted stock units that vest ratably on February 22, 2016 and February 22, 2017; (iii) 864 restricted stock units that vest ratably on February 24,2016 and February 24, 2017; (iv) 1,587 restricted stock units that vest ratably on March 6, 2016, March 6, 2017 and March 6, 2018; and (v) 634 restricted stock units that vest in full on March 6, 2018. 3. Following the exercise reported in this Form 4, this option is not currently exercisable as to any shares. The option becomes exercisable in five equal installments, beginning on November 17, 2011, which was the first anniversary of the date on which it was granted. The option will become vested and exercisable with regard to the remaining 5,050 shares covered thereby on November 17, 2015.

4. Following the exercise reported in this Form 4, this option is currently exercisable as to 6,657 shares. The option becomes exercisable in five equal installments, beginning on December 22, 2011, which was the first anniversary of the date on which it was granted. The option will become vested and exercisable with regard to the remaining 2,000 shares covered thereby on December 22, 2015.

### **Remarks:**

<u>/s/ Sharyn J Handelsman</u>

06/04/2015

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.