

## **LPL Financial's Robert J. Moore Elected to the Board of Directors of the Securities Industry and Financial Markets Association (SIFMA)**

**- Moore looks forward to helping SIFMA achieve its goal of promoting capital formation, job creation and economic growth for all member firms -**

**San Diego, CA - April 30, 2013** - [LPL Financial](#) LLC - the nation's largest independent broker-dealer,\* a leading RIA custodian and a wholly owned subsidiary of LPL Financial Holdings Inc. (NASDAQ: LPLA) - today announced that Robert J. Moore, President of Advisor and Institution Solutions, LPL Financial, has been elected to the Board of Directors of the Securities Industry and Financial Markets Association (SIFMA).

SIFMA is a leading financial services industry association representing a broad spectrum of organizations participating in the nation's financial markets. Mr. Moore joins a Board composed of approximately 39 leaders of financial institutions. The Board members of SIFMA represent companies across the spectrum of sizes, and encompass leaders of broker-dealers, custodians and asset managers.

"I'm pleased to have been asked to join the Board of Directors of SIFMA, which performs the critical role of coalition building across a broad range of securities industry leaders," Moore stated. "I look forward to actively representing the interests of independent financial advisors, as well as helping SIFMA achieve its goal of promoting capital formation, job creation and economic growth for all of our member firms."

### **About SIFMA**

The Securities Industry and Financial Markets Association (SIFMA) brings together the shared interests of hundreds of securities firms, banks and asset managers. SIFMA's mission is to support a strong financial industry, investor opportunity, capital formation, job creation and economic growth, while building trust and confidence in the financial markets. SIFMA, with offices in New York and Washington, D.C., is the U.S. regional member of the Global Financial Markets Association (GFMA). For more information, visit <http://www.sifma.org/>.

### **About LPL Financial**

LPL Financial, a wholly owned subsidiary of LPL Financial Holdings Inc. (NASDAQ: [LPLA](#)), is the nation's largest independent broker-dealer,\* a top RIA custodian, and a leading independent consultant to retirement plans. LPL Financial offers proprietary technology, comprehensive clearing and compliance services, practice management programs and training, and independent research to over 13,300 financial advisors and approximately 700 financial institutions. In addition, LPL Financial supports over 4,500 financial advisors licensed with insurance companies by providing customized clearing, advisory platforms and technology solutions. LPL Financial and its affiliates have approximately 2,900 employees with primary offices in Boston, Charlotte, and San Diego. For more information, please visit [www.lpl.com](http://www.lpl.com).

Securities and Advisory Services offered through LPL Financial, a Registered Investment Advisor. Member FINRA/SIPC

\*Based on total revenues, Financial Planning magazine, June 1996-2012  
LPL Financial and SIFMA are not affiliated entities

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