SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Haines Marshall (M			Date of Event equiring Staten Month/Day/Year 6/29/2007	nent	3. Issuer Name and Ticker or Trading Symbol <u>LPL Investment Holdings Inc.</u> [NONE]					
(Last) (First) (Middle) 345 CALIFORNIA STREET (1)					4. Relationship of Reporting Person(s) to I (Check all applicable) X Director 10% 0			0	5. If Amendment, Date of Original Filed (Month/Day/Year)	
SUITE 3300				Officer (give title below)		Other (specify below)		6. Individual or Joint/Group Filing (Check Applicable Line)		
(Street) SAN FRANCISCO	CA	94104								y One Reporting Person y More than One erson
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)		4. Nature of Indirect Beneficial Ownership (Instr. 5)	
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		I 3. Title and Amount of Secur Underlying Derivative Securi		ty (Instr. 4) Conve or Exe		rcise Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
			Date Exercisable	Expiration Date	n Title	9	Amount or Number of Shares	Price of Derivativ Security	Direct (D) or Indirect (I) (Instr. 5)	

Explanation of Responses:

Remarks:

(1) The Reporting Person does not directly own any securities of the issuer. The Reporting Person is a principal of TPG Capital. Pursuant to Rule 16a-1(a)(4) of the Securities Exchange Act of 1934, as amended, the Reporting Person herein states that, except to the extent of his pecuniary interest in such securities, this filing shall not be deemed an admission that he is the beneficial owner of any equity securities of the issuer beneficially owned by TPG Partners IV, L.P. as reported in the issuer's Form 10 filed with the Securities and Exchange Commission on April 30, 2007. (2) Clive D. Bode is signing on behalf of Mr. Haines pursuant to an authorization and designation letter dated August 31, 2006, which is filed as an exhibit herewith.

No securities are beneficially owned.

<u>/s/ Clive D. Bode on behalf of</u> <u>Marshall Haines (2)</u>

06/27/2007

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.



August 31, 2006

Securities and Exchange Commission 450 Fifth Street, NW Washington, DC 20549

This letter confirms that Clive Bode and John Viola are authorized and designated to sign all securities related filings with the Securities and Exchange Commission, including Forms 3, 4 and 5, on my behalf. This authorization and designation shall be valid for three years from the date of this letter.

Very truly yours,

<u>/s/ Marshall Haines</u> Marshall Haines

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