



LPL Financial Welcomes Ellis Financial Group

July 13, 2018

CHARLOTTE, N.C., July 13, 2018 (GLOBE NEWSWIRE) -- LPL Financial LLC (NASDAQ:LPLA), a leading retail investment advisory firm and independent broker-dealer, today announced that Ellis Financial Group joined LPL's broker-dealer and corporate registered investment advisor (RIA) platforms. Ellis Financial Group joined from Lucia Securities, LLC and reported that it served approximately \$170 million of client brokerage and advisory assets as of March 26.*

Based in San Diego, Ellis Financial Group serves clients who are in or nearing retirement in the San Jose, San Francisco, Sacramento, Seattle and Tacoma areas. The Ellis Financial Group team includes John Ellis, Jennifer Baker and John Ellis Jr.

To see other firms who recently chose to join LPL, visit the LPL [Newsroom](#).

About LPL Financial

LPL Financial is a leader in the retail financial advice market and the nation's largest independent broker-dealer**. We serve independent financial advisors and financial institutions, providing them with the technology, research, clearing and compliance services, and practice management programs they need to create and grow thriving practices. LPL enables them to provide objective guidance to millions of American families seeking wealth management, retirement planning, financial planning and asset management solutions.

LPL.com

Securities and Advisory Services offered through LPL Financial. A registered investment advisor, Member FINRA/SIPC.

*Based on prior business and represents assets that would have been custodied at LPL Financial, rather than third-party custodians. Reported assets have not been independently and fully verified by LPL Financial.

**Based on total revenues, *Financial Planning* magazine June 1996-2018

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Source: LPL Financial Holdings, Inc.